

(62)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Graeme John PROUDLER, et al.) Examiner: David Garcia Cervetti
Serial No.: 09/728,827 ✓)
Filed: November 28, 2000) Art Unit: 2136
For: "OPERATION OF TRUSTED STATE IN) Our Ref: B-4050CONTPCT 618384-8
COMPUTING PLATFORM") Date: May 22, 2007

**RE-SUBMISSION OF NON-PATENT DOCUMENTS AND
FOREIGN PATENT DOCUMENTS**

Sir:

In the final office action mailed on October 11, 2006, the Examiner requested the Applicants/Appellants to re-submit NPL documents and foreign documents listed in the information disclosure statements filed on March 18, 2003 (mailed on March 14, 2003) and December 15, 2003 (mailed on December 9, 2003) and apparently lost by the Office. As stated in their appeal brief, filed on March 27, 2007, the Applicants/Appellants agreed to re-submit these references as a courtesy to the Office. The references are attached to this paper together with copies of the information disclosure statements filed on March 18, 2003 and December 15, 2003. Also enclosed are copies of the search reports that were submitted with these information disclosure statements.

The Applicants/Appellants request that the Examiner consider the references (U.S., foreign, and non-patent) and the search reports supplied with the information disclosure statements filed on March 18, 2003 and December 15, 2003.

Because this is a second submission of documents previously submitted to the Office, no fee is believed to be due.

The Commissioner is authorized to charge any additional fees which may be required or credit overpayment to deposit account no. 08-2025. In particular, if this response is not timely filed, then the Commissioner is authorized to treat this response as including a petition to extend the time period pursuant to 37 CFR 1.136(a) requesting an extension of time of the number of months necessary to make this response timely filed and the petition fee due in connection therewith may be charged to deposit account no. 08-2025.

I hereby certify that this correspondence is being deposited with the United States Post Service with sufficient postage as first class mail in an envelope addressed to:
Commissioner for Patents, P.O. Box 1450,
Alexandria, VA 22313-1450 on May 22,
2007.

Lucy C. Derby

(Name of Person Transmitting)

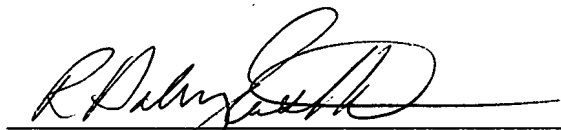


(Signature)

May 22, 2007

(Date)

Respectfully submitted,



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Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Graeme John Proudler,)	Re: Information Disclosure
et al.)	Statement
)	
Serial No.: 09/728,827)	Group: 2176
)	
Filed: November 28, 2000)	Examiner: not yet assigned
)	
For: "OPERATION OF TRUSTED STATE IN)	Our Ref: B-4050CONTPCT
COMPUTING PLATFORM")	618384-8
)	
)	Date: December 9, 2003

Commissioner for Patents
P.O. Box 1450
Alexandria VA, 22313-1450

Sir:

In accordance with the Applicants' duty to disclose information which may be material to the examination of this application, the undersigned respectfully requests that the Examiner consider on the merits the documents listed on the enclosed Form PTO-1449 (modified) before issuing the first Office Action on the merits. We are enclosing herewith a copy of each document listed on the enclosed Form PTO-1449 (modified).

It should be noted that the above-identified application may be related by subject matter to the following U.S. Application(s): 09/920,554, filed August 1, 2001; 10/075,444, filed February 15, 2002; 10/080,466, filed February 22, 2002; 10/165,840, filed June 7, 2002; 10/175,183, filed June 18, 2002; 10/175,185, filed June 18, 2002; 10/175,395, filed June 18, 2002; 10/175,542, filed June 18, 2002; 10/175,553, filed June 18, 2002; 10/206,812, filed July 26, 2002; 10/240,137, filed September 26, 2002; 10/240,139, filed September 26, 2002; and 10/303,690, filed November 21, 2002. Pursuant to 37 C.F.R. 1.56(a) and M.P.E.P. 2004, paragraph 9, the applicant brings these co-pending applications to the attention of the Examiner. The Examiner should consider this information during the prosecution of the above-identified application.

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Page 2

The documents listed on the enclosed Form PTO-1449 (modified) include those cited in the United Kingdom Search Report for a related United Kingdom Patent Application No. GB 0020369.5. A copy of the Search Report (1 page) is enclosed herewith. Please note that UK Patent No. 2317476, European Patent No. 0992958, European Patent No. 0893751, European Patent No. 0825511, International Patent No. 00/58859, and International Patent No. 00/48063, cited in the enclosed Search Report for GB 0020369.5, were previously cited in an IDS filed on March 14, 2003 for the subject application. Therefore, copies of these documents are not included in this IDS.

The filing of this Information Disclosure Statement (IDS) shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The Applicants believe that this IDS is being submitted before the issuance of a first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance. Therefore, no official fees should be due; and this IDS should be considered on the merits. If this IDS is being submitted after the issuance of the first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance, please contact the undersigned to authorize a payment of \$180.00 (or any other required amount), which is the fee set forth in 37 C.F.R. § 1.97(c), if the Examiner believes that such a fee is due in order for this IDS to be considered on the merits.

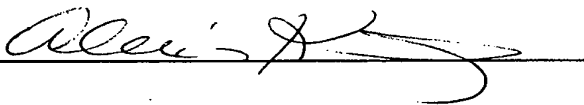
The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. (Notice

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December 9, 2003
Page 3

of January 9, 1992, 1135 O.G. 13-25, at 25.)

The person making this statement is the practitioner who signs below on the basis of information supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)) and on the basis of information in the practitioner's file.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to the "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450", on December 9, 2003 by Alexis Karriker.



Respectfully submitted,



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Enclosures: Form PTO-1449 (modified) (2 pages)
Copy of Search Report for GB 0020369.5 (1 page)
Copy of documents listed on Form PTO-1449 (modified)



Information Disclosure Statement

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December 9, 2003

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Form PTO-1449 (Modified)	ATTY DOCKET NO. B-4050cont 618384-8	U.S. SERIAL NO. 09/728,827
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANT(S) Graeme John Proudler, et al.	
	FILING DATE November 28, 2000	GROUP 2176

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
	09/920,554		Proudler			8/1/2001
	10/075,444		Brown et al.			2/15/2002
	10/080,466		Pearson et al.			2/22/2002
	10/165,840		Dalton			6/7/2002
	10/175,183		Griffin et al.			6/18/2002
	10/175,185		Pearson et al.			6/18/2002
	10/175,395		Pearson et al.			6/18/2002
	10/175,542		Griffin et al.			6/18/2002
	10/175,553		Griffin et al.			6/18/2002
	10/206,812		Proudler			7/26/2002
	10/240,137		Dalton et al.			9/26/2002
	10/240,139		Choo et al.			9/26/2002
	10/303,690		Proudler et al.			11/21/2002

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO

Information Disclosure Statement
USSN 09/728,827
December 9, 2003
Page 5

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Burke, J.P., "Security Suite Gives Sniffer Programs Hay Fever," <i>HP Professional</i> , Vol. 8, No. 9, 3 pages total (September 1994).
--	---

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Patent

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Applicant(s): Graeme John Proudler,) Re: Information Disclosure
et al.) Statement
)
Serial No.: 09/728,827) Group: 2176
)
) Examiner: not yet assigned
Filed: November 28, 2000)
) Our Ref: B-4050CONT PCT
For: "OPERATION OF TRUSTED STATE IN) 618384-8
COMPUTING PLATFORM")
) Date: March 14, 2003

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

In accordance with the Applicants' duty to disclose information which may be material to the examination of this application, the undersigned respectfully requests that the Examiner consider on the merits the documents listed on the enclosed Form PTO-1449 (modified) before issuing the first Office Action on the merits. We are enclosing herewith a copy of each document listed on the enclosed Form PTO-1449 (modified).

The documents listed on the enclosed Form PTO-1449 (modified) include those cited in the Search Reports for the related United Kingdom Patent Application No. GB 0118455.5 (1 page), the related United Kingdom Patent Application No. GB 0020441.2 (1 page); the related United Kingdom Patent Application No. GB 0102516.2 (1 page); the related United Kingdom Patent Application No. GB 0102518.8 (1 page); the related United Kingdom Patent Application No. GB 0103986.6 (1 page); the related United Kingdom Patent Application No. GB 0114882.4 (1 page); the related United Kingdom Patent Application No. GB 0114884.0 (1 page); the related United Kingdom Patent Application No. GB 0114885.7 (1 page); the related United Kingdom Patent Application No. GB 0114891.5 (1 page); the related United Kingdom Patent Application No. GB 0114895.6 (1 page); the related United Kingdom Patent Application No. GB 0114898.0 (1 page); the related United Kingdom Patent Application No. GB 0127978.5 (1 page); the related United Kingdom Patent Application No. GB 0118455.5 (1 page); the related United Kingdom Patent Application No. GB 0213459.1 (1 page); the related PCT

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Patent Application No. PCT/GB02/00385 (2 pages); and the related PCT Patent Application No. PCT/GB02/00419 (2 pages). A copy of each Search Report is enclosed herewith.

The filing of this Information Disclosure Statement (IDS) shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The Applicants believe that this IDS is being submitted before the issuance of a first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance. Therefore, no official fees should be due; and this IDS should be considered on the merits. If this IDS is being submitted after the issuance of the first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance, please contact the undersigned to authorize a payment of \$180.00 (or any other required amount), which is the fee set forth in 37 C.F.R. § 1.97(c), if the Examiner believes that such a fee is due in order for this IDS to be considered on the merits.

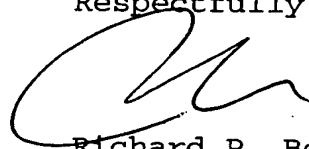
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USSN 09/728,827
March 14, 2003
Page 3

The person making this statement is the practitioner who signs below on the basis of information supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)) and on the basis of information in the practitioner's file.

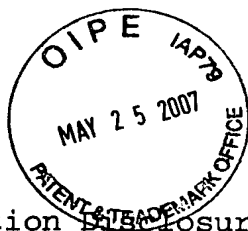
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Enclosures: Form PTO-1449 (modified) (3 pages)
Copy of Search Report for GB 0020441.2 (1 page)
Copy of Search Report for GB 0102516.2 (1 page)
Copy of Search Report for GB 0102518.8 (1 page)
Copy of Search Report for GB 0103986.6 (1 page)
Copy of Search Report for GB 0114882.4 (1 page)
Copy of Search Report for GB 0114884.0 (1 page)
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Copy of Search Report for GB 0213459.1 (1 page)
Copy of Search Report for PCT/GB02/00385 (2 pages)
Copy of Search Report for PCT/GB02/00419 (2 pages)
Copy of documents listed on Form PTO-1449 (modified)



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 March 14, 2003
 Page 4

Form PTO-1449 (Modified)	ATTY DOCKET NO. B-4050CONTPCT 618384-8	U.S. SERIAL NO. 09/728,827
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANT(S) Graeme John Proudler, et al.	
	FILING DATE November 28, 2000	GROUP not yet assigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
	2002/0012432 A1	Published: 1/2002	England et al.	380	231	6/28/01
	2002/0023212 A1	Published: 2/2002	Proudler	713	164	8/1/01
	5,032,979 A	7/1991	Hecht et al.	364	200	
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	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
	application no.: 0020441.2	filed: 8/2000	GB			
	0 893 751 A1	1/1999	EP			
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	Dalton, C., et al., "An operating system approach to securing e-services," <i>Communications of the ACM</i> , Vol. 44, Issue 2 (February 2001).	
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	Loscocco, P., et al., "Integrating Flexible Support for Security Policies into the Linux Operating System," Internet: < www.nsa.gov/selinux > (Retrieved April 24, 2002).	
	Milojicic, D., et al., "Process Migration," Internet: < http://www.hpl.hp.com/techreports/1999/HPL-1999-21.html > pp 1-48 (December 5, 1998).	
	Scheibe, M., "TCPA Security: Trust your Platform!" <i>Quarterly Focus PC Security</i> , pp 44-47. Internet: < http://www.silicon-trust.com/pdf/secure_PDF/Seite_44-47.pdf >	

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	Yee, B., "Using Secure Coprocessors," Doctoral thesis - Carnegie Mellon University, pp 1-94 (May 1994).	
	<i>Boot Integrity Services Application Programming Interface</i> , Version 1.0, Intel Corporation, pp 1-60 (December 28, 1998).	
	"NIST Announces Technical Correction to Secure Hash Standard," Internet: < http://www.nist.gov/public_affairs/releases/hashstan.htm > pp 1-2 (October 24, 2002).	
	"Norton AntiVirus 5.0 Delux," <i>PC Magazine Online; The 1999 Utility Guide: Desktop Antivirus</i> , pp 1-2, Internet: < http://www.zdnet.com/pcmag/features/utilities99/deskav07.html > (Retrieved November 30, 2001).	
	"Secure Execution Environments, Internet Safety through Type-Enforcing Firewalls," Internet: < thp://www.ghp.com/research/nailabs/secure-execution/internet-safety.asp > (Retrieved April 24, 2002).	
	<i>Sophos Anti-Virus for Notes/Domino Release Notes</i> , Version 2.0, pp 1-2, Internet: < http://www.sophos.com/sophos/products/full/readmes/readnote.txt > (Retrieved November 30, 2001).	
	<i>Trusted Computing Platform Alliance (TCPA), TCPA Design Philosophies and Concepts</i> , Version 1.0, Internet: < www.trustedpc.org > pp 1-30 (January 2001).	

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.